

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Mamoru Oguro

Serial No.: 09/996,557

Group Art Unit: 2874

Filed: November 30, 2001 Examiner: Healy, Brian

For: OPTICAL MODULE

Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents, cited in the European Search Report and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

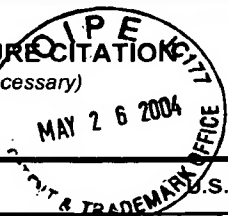
Date: May 26, 2004

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

2000-367314US

SERIAL NO.

09/996,557

M. Oguro

FILING

November 30, 2001

GROUP

2874

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----------------|------------|------------------|-------|----------|-------------------------------|
| | 5,910,012 | 06/08/1999 | Takeshi Takeuchi | | | |
| | 6,108,481 | 08/22/2000 | Tohyama, et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | EP 0 696 747 A2 | 02/14/1996 | Europe | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | | European Search Report dated April 29, 2004 |
| | | Uchida, et al., "LOW-COST HYBRID WDM MODULE CONSISTING OF A SPOT-SIZE CONVERTER INTEGRATED LASER DIODE AND A WAVEGUIDE PHOTODIODE ON A PLC PLATFORM FOR ACCESS NETWORK SYSTEMS", IEICE TRANS. ELECTRON., VOL. E80-C, NO. 1, January 1997, Pages 88-97 |

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| EXAMINER | DATE CONSIDERED |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

